## JCO3 Rec'd PCT/PTO 03 MAY 2005

NL 021393

ATTORNEY'S DOCKET NO.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2003/004682 October 22, 2003 November 6, 2002; December 30, 2002 TITLE OF INVENTION MULTI-STACK OPTICAL DATA STORAGE MEDIUM AND USE OF SUCH MEDIUM APPLICANT(S) FOR DO/EO/US Mark VAN SCHIJNDEL; Hubert Cecile François MARTENS; Benno TIEKE; Guofu ZHOU Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. [ ] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. [ ] 5. [X] copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is transmitted herewith (required only if not transmitted by the International Bureau). a. [ ] ь. [X] has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. [ ] 6. [ ] A translation of the International Application into English (35 U.S.C. 371(c)(2)) 7. [X] Amendments to the claims of the international Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). a. [ ] b. [ ] have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. [ ] 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. [ ] Items 11. to 16. below concern document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. [X] An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. TX 1 A FIRST preliminary amendment. [] A SECOND OR SUBSEQUENT preliminary amendment. 14. [ ] A substitute specification. 15. [ ] A change of power of attorney and/or address letter. 16. [X] Other items or information: Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/80] Statement under 37 CFR 3.73(b) [PTO/SB/96] Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account CERTIFICATE OF MAILING
[X] Express Mail Mailing Label No. EV664 85 2395-45 Date of Deposit 5 - 3 - 05

I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post

Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O.

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

Jeanne Rusciano Typed Name

Box 1450, Alexandria, VA 22313-1450.

Signature

JC14 Rec'd PCT/PTO 03 MAY 2005

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			INTERNATIO PCT /IB2003/	NAL APPLICATION NO. 004682	ATTORNEY'S DOCKET NUMBER NL 021393		
17 [X] The following fees are submitted:					CALCULATIONS (PTO USE ONLY)		
BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)):							
Search Report has been prepared by the EPO or JPO \$1000.00					ł	`	
International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00							
No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$750.00					,		
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) pald to USPTO \$970.00							
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 1000.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE			
Total Claims	7 - 20 =	0		X \$ 50.00	\$ 0.00		
Independent claims	1 - 3 =	0		X \$ 200.00	\$ 0.00		
MULTIPLE DEPENDE applicable)			+ \$ 360.00	\$ 0.00			
TOTAL OF ABOVE CALCULATIONS =					\$ 0.00		
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)					\$		
SUBTOTAL =					\$ 1000.00		
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					\$		
TOTAL NATIONAL FEE =					\$ 1000.00		
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +					\$ 40.00		
TOTAL FEES ENCLOSED =					\$ 1040.00		
	- · · · · · · · · · · · · · · · · · · ·				Amount to be Refunded	\$	
					Charged	\$	
a. [ ] A check in	the amount \$	to	cover the abov	/e fees is enclosed.			
b. [X] Please charge my Deposit Account No. 14-1270 In the amount of \$1040.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. [X] The Commis	ssioner is hereby authoriz	ed to cha	arge any addition nt No. <u>14-1270</u>	onal fee, with the exception . A duplicate copy of this	n of the Base Issue Fee, sheet is enclosed.	which may be required,	
NOTE: Where an appr		37 C.F.R.	. 1.494 or 1.495	has not been met, a petiti		.137(a) or (b)) must be	
SEND ALL CORRESPONDENCE TO:				Merball	Mirhael & Bell		
Corporate Patent Cour Philips Electronics No P.O. Box 3001 Briarcliff Manor, NY 10	rth America Corporation	ı	(SIGNATURE)  Michael E. Belk (NAME)				

33,357 (REGISTRATION NUMBER)